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OF 12/11/2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Toshimiro Kawaoka

Title: VIRUSES COMPRISING MUTANT ION CHANNEL PROTEIN

Docket No.: 800.026US1

Serial No.: 09/834,095

Filed: April 12, 2001

Due Date: December 9, 2001

Examiner: Unknown

Group Art Unit: Unknown

Attn: Box Missing Parts

Commissioner for Patents

Washington, D.C. 20231

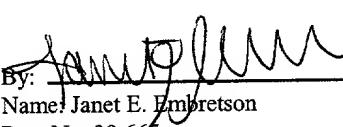
We are transmitting herewith the attached:

- A return postcard.
- A check in the amount of \$65.00 to cover the Missing Parts Fee.
- A check in the amount of \$615.00 to cover the Basic Filing Fee and Additional Claims Fee.
- Communication Re: Missing Parts (1 pg.)
- A signed Combined Declaration and Power of Attorney (3 pgs.).
- Notice to File Missing Parts (2pgs.)
- Communication Re: Corrected Application Papers (1 pg.)
- Formal Drawings (13 pgs.).

Applicant claims small entity status under 37 C.F.R. 1.27.

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: 
Name: Janet E. Embretson
Reg. No. 39,665
JEE:CMG:sc

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 7th day of December, 2001.

Seatawa the Creg
Name

Seatawa the Creg
Signature

S/N 09/834,095

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INDEXED

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yoshihiro Kawaoka

Examiner: Unknown

Serial No.: 09/834,095

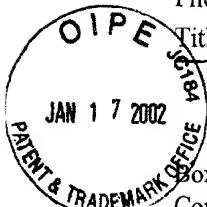
Group Art Unit: Unknown

Filed: April 12, 2001

Docket: 800.026US1

Title:

VIRUSES COMPRISING MUTANT ION CHANNEL PROTEIN



COMMUNICATION RE: CORRECTED APPLICATION PAPERS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" which indicated "Corrected Papers" additionally required, enclosed is the Corrected Drawings (13 pages).

Applicant assumes the application is now in proper order and in condition for examination.

Please direct any inquiries to the undersigned attorney at (612) 373-6959.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

YOSHIHIRO KAWAOKA

By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6959

Date December 7, 2001

Janet E. Embretson
Janet E. Embretson
Reg. No. 39,665
JEE:CMG:sc

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on December 7, 2001.

Date of Deposit

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yoshihiro Kawaoka
Serial No.: 09/834,095
Filed: April 12, 2001
Title: VIRUSES COMPRISING MUTANT ION CHANNEL PROTEIN

Examiner: Unknown
Group Art Unit: Unknown
Docket: 800.026US1

COMMUNICATION RE: MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$65.00 to cover the small entity surcharge and a check in the amount of \$615.00 to cover the small entity basic filing fee.

Applicant assumes the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6959.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

YOSHIHIRO KAWAOKA

By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6959

Date December 7, 2001 By Janet E. Embretson

Janet E. Embretson
Reg. No. 39,665
JEE:CMG:sc

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 7 day of December, 2001.

Sebastian H. Cregg
Name

Sebastian H. Cregg
Signature



CONFIRMATION
SERIALIZED

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON D C 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/834,095	04/12/2001	Yoshihiro Kawaoka	800.026US1

CONFIRMATION NO. 5332

21186
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P A.
1600 TCF TOWER
121 SOUTH 8TH STREET
MINNEAPOLIS, MN 55402

FORMALITIES LETTER



OC00000006870089

Date Mailed: 10/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee for a small entity
- Total additional claim fee(s) for this application is \$238.
 - \$63 for 7 total claims over 20.
 - \$40 for 1 independent claims over 3 .
 - \$135 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 658.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)) Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks



A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PART 2 - COPY TO BE RETURNED WITH RESPONSE